

**AMENDMENT TRANSMITTAL LETTER (Small Entity)**Applicant(s): **Gustavo C. Rodriguez**

Docket No.

31162

Serial No.  
09/528,963Filing Date  
March 21, 2000Examiner  
J. GoldbergGroup Art Unit  
1614Invention: **PREVENTION OF OVARIAN CANCER BY ADMINISTRATION OF PRODUCTS THAT INDUCE TRANSFORMING GROWTH FACTOR-BETA AND/OR APOPTOSIS IN THE OVARIAN EPITHELIUM**TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	6 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	1 -	3 =	0 x	\$40.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **18-1942**  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Dated: **December 5, 2001**

**Raymond N. Nimrod**  
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*Signature*


I certify that this document and fee is being deposited  
on **12/5/01** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence***Raymond N. Nimrod***Typed or Printed Name of Person Mailing Correspondence*cc: **Gustavo C. Rodriguez**

CERTIFICATE OF MAILING

I, Raymond N. Nimrod, hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with postage prepaid, in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on December 5, 2001.

Dated: December 5, 2001

  
\_\_\_\_\_  
Raymond N. Nimrod



Attorney Docket No. 3162

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
GUSTAVO C. RODRIGUEZ

Serial No.: 09/528,963

Filed: March 21, 2000

For: PREVENTION OF OVARIAN CANCER  
BY ADMINISTRATION OF PRODUCTS  
THAT INDUCE TRANSFORMING  
GROWTH FACTOR-BETA AND/OR  
APOPTOSIS IN THE OVARIAN  
EPITHELIUM

Group Art Unit: 1614

Examiner: J. Goldberg

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9/18/02  
1-12-02

AMENDMENT AND RESPONSE TO OFFICE  
ACTION DATED SEPTEMBER 5, 2001

TO: Commissioner For Patents  
Washington DC 20231

Sir:

Applicant submits this response to the Office Action dated September 5, 2001.

AMENDMENTS

IN THE CLAIMS:

Please amend claim 1 as follows:

--1. (Amended) A method of formulating a [regimen] composition for preventing epithelial ovarian cancer for administration to a human female subject comprising selecting an agent based on its ability to upregulate TGF- $\beta$  expression in the ovarian epithelium by testing said agent by treating ovarian epithelial cells through exposure to said agent and determining the resulting expression of TGF- $\beta$  in the treated ovarian epithelial cells.